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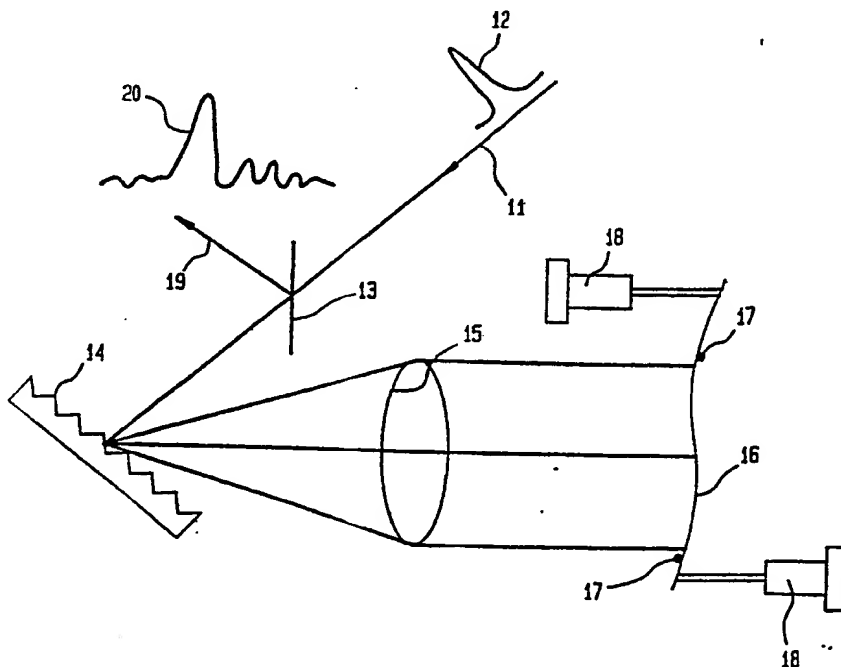
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification⁵ : G02B 5/08, 5/18, 7/185 G02F 1/00</p>	<p>A1</p>	<p>(11) International Publication Number: WO 92/15903 (43) International Publication Date: 17 September 1992 (17.09.92)</p>
<p>(21) International Application Number: PCT/US92/01627 (22) International Filing Date: 28 February 1992 (28.02.92) (30) Priority data: 667,033 11 March 1991 (11.03.91) US (71) Applicant: BELL COMMUNICATIONS RESEARCH, INC. [US/US]; 290 West Mount Pleasant Avenue, Livingston, NJ 07039-2729 (US). (72) Inventors: CHASE, Eugene, Waite ; 209 Pelican Road, Middletown, NJ 07748 (US). DELFYETT, Peter, John, Jr. ; 591 Oak Hill Road, Middletown, NJ 07748 (US). HERITAGE, Jonathan, Paul ; 9 Harvest Lane, Tinton Falls, NJ 07701 (US). THURSTON, Robert, Norton ; 40 Squire Terrace, Colts Neck, NJ 07722 (US).</p>		<p>(74) Agents: WINTER, Richard, C. et al.; PCT Int'l, Inc., International Coordinator, Room 2E-304, Bell Communications Research, Inc., 290 West Mount Pleasant Avenue, Livingston, NJ 07039 (US). (81) Designated State: JP. Published With international search report.</p>

(54) Title: OPTICAL PULSE-SHAPING DEVICE AND METHOD, AND OPTICAL COMMUNICATIONS STATION AND METHOD

(57) Abstract

For use, e.g., in the compensation of frequency dispersion in the course of transmission of an optical signal, a pulse-shaping device is provided with a suitably shaped nonplanar mirror (16). When spatially spread-out frequency components -- produced, e.g., by a grating (14) -- are reflected from such mirror, a frequency-dependent phase shift is introduced; for example, such phase shift may be a third-order function of frequency. Upon recombination of frequencies, a shaped pulse is obtained. Furthermore, third-order compensation can be used to compress amplified light pulses, e.g. as produced by a semiconductor gain medium (80, 81).



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OPTICAL PULSE-SHAPING DEVICE AND METHOD,
AND OPTICAL COMMUNICATIONS STATION AND METHOD

Technical Field

The invention is concerned with optical signals and
5 their shape.

Background of the Invention

In optical telecommunications, as well as in other
fields where light pulses are used (e.g., in laser fusion),
the need for optical pulse shaping is well recognized. For
10 example, in fiber-optical communications, as a pulse tends
to be distorted due to dispersion in the course of
transmission over an optical fiber, pulse shaping may be
used to advantage at a transmitter or at a receiver.
Indeed, compensation for optical dispersion is a principal
15 motive for pulse shaping.

Optical dispersion can be understood in terms of
frequency-dependent propagation velocities of sinusoidal
waveforms constituting a signal. In typical transmission
media, dispersion is either directly or indirectly related
20 to frequency, dispersion being termed "normal" in the case
of a medium in which higher-frequency waves travel more
slowly, and "anomalous" in the opposite case. Typically
also, dispersion is nonlinearly related to frequency, so
that it is meaningful to consider higher-order terms of a
25 functional relationship between dispersion and frequency,
e.g., second- and third-order terms. Higher-order
dispersion is particularly significant in the transmission
of ultrafast (subpicosecond, terabit) optical signals. Such
signals are preferred in so-called code-division multiple-
30 access communications, a field which is under active current
development; see, e.g., U. S. Patent 4,866,699, issued
September 12, 1989 to C. A. Brackett et al.

One class of pulse-shaping methods and devices,
disclosed in U. S. Patent 4,655,547, issued April 7, 1987 to

J. P. Heritage et al., is predicated on spatial dispersion of frequency components of a signal, combined with the use of a spatial amplitude and/or phase mask. Motivation for an aspect of the invention described below stems from the
5 desire to provide pulse-shaping means which are particularly easy to implement and which can be fabricated by simple mechanical assembly.

Summary of the Invention

In accordance with an aspect of the invention, a
10 preferred pulse-shaping device comprises spreader means (e.g., a grating and a lens) for spatially spreading out frequency components of an optical input signal, and reflector means (e.g., a curved mirror) for imparting a frequency-dependent phase shift to spatially spread-out
15 frequency components. A shaped pulse is obtained upon recombination of phase-shifted frequencies, e.g., in an arrangement in which the spreader means serves as its own inverse to spatially recombine the spread-out frequencies. Optionally, by use of a reflector with varying reflectivity,
20 phase shifting can be combined with frequency-dependent amplitude adjustment. In accordance with an embodiment of the invention, preferred pulse shaping can be used for the compensation of nonlinear, e.g. third-order phase dispersion in optical communications.

25 In accordance with a further aspect of the invention, a frequency-dependent third-order phase shift can serve to compress an optical pulse, e.g., an amplified laser pulse.

Brief Description of the Drawing

30 FIG. 1 is a schematic representation of a device or assembly in accordance with a preferred embodiment of the invention, comprising a preferred reflector subassembly which can serve as a phase shifter;

FIG. 2 is a schematic representation of an alternative
35 embodiment of a reflector subassembly for inclusion in a

further preferred embodiment of the invention;

FIG. 3 is a schematic representation of an alternative further embodiment of a reflector subassembly for inclusion in a further preferred embodiment of the invention;

5 FIG. 4 is a graphic representation of an experimentally determined crosscorrelation between input and output signals to and from a preferred embodiment of the invention;

FIG. 5 is a graphic representation of a theoretically determined crosscorrelation between an input signal and a
10 corresponding third-order deformed output signal;

FIG. 6 is a schematic representation of a preferred embodiment of the invention, taking the form of a communications link;

FIG. 7 is a schematic representation of an optical
15 communications system comprising communications stations in accordance with a preferred embodiment of the invention;

FIG. 8 is a schematic representation of an assembly comprising a laser and a pulse compressor in accordance with a preferred further embodiment of the invention;

20 FIG. 9 is a graphic representation of an optical laser pulse prior to compression by a preferred method in accordance with an embodiment of the invention; and

FIG. 10 is a graphic representation of an optical laser pulse after compression by a preferred method in accordance
25 with an embodiment of the invention.

Detailed Description

Since, in fiber-optics, there is particular interest in the compensation for third-order or cubic dispersion, a corresponding preferred embodiment of the invention will be
30 described first. In accordance with such embodiment, third-order dispersion is produced (or, conversely, compensated for) by an arrangement according to FIG. 1 which shows input beam 11 carrying pulse 12, beam splitter 13, grating 14 for spatially spreading out frequency components of pulse 12,
35 lens 15, mirror 16, mirror supports 17, and micrometer

pushers 18 for applying pressure in opposite directions at two points an equal distance away from supports 17. Instead of a reflection grating as shown, a transmission grating or a prism can be used.

5 As a result of pressure applied by pushers 18, mirror 16 is elastically deformed, and optical frequency components are reflected by mirror 16 with a frequency-dependent phase shift. In accordance with an aspect of the invention, for a homogeneous, constant-thickness, initially flat mirror, for
10 pusher forces essentially equal in magnitude, and for essentially frictionless physical contact between mirror 16 and contacts and pushers 17 and 18, such phase shift is a cubic function of frequency. (Friction may be minimized by the use of ball bearings and of low-friction materials, e.g.
15 Teflon.) Cubic dependence of the phase shift as a function of frequency is under the assumption of linearly spread-out frequency components and follows from the fact that the illustrated torquing of mirror 16 produces a displacement which, except for a possible linear term, varies cubically
20 along its length. Preferably, as shown, after reflection from mirror 16, light is focused by lens 15 back onto grating 14, and an output beam 19 is produced which carries shaped pulse 20.

Preferably, for purely third-order compensation, light
25 incidence on mirror 16 is such that the center of the beam falls on the inflection point of mirror 16, with essentially perpendicular incidence at that point. Oblique incidence gives rise to a superimposed linear shift which, however, does not affect the shape of a reflected signal.
30 If incidence is off-center, a second-order shift is introduced-- as may be used intentionally to compensate for second-order frequency dispersion in an input signal. The sign or direction of dispersion can be changed either by reversal of the spectrum produced by grating 14 or else by
35 reversing the shape of mirror 16.

Preferably, the distances between grating 14 and lens

15, and between lens 15 and mirror 16 are at least approximately equal to the focal length of lens 15-- this in the interest of preferred mutual cancellation of frequency dispersions introduced by the grating and by the lens.

5 However, such and other frequency dispersions may also be compensated for by suitable mirror adjustment, and this applies also to the compensation for any nonlinearity in the spread-out frequency spectrum.

In an experimental device, designed for a wavelength of
10 1.54 micrometer, grating 14 had a grating constant of 600 lines per millimeter, lens 15 had a focal length of 50 centimeters, and mirror 16 consisted of a gold-coated fused silica body 120 millimeters long, 10 millimeters wide, and 1 millimeter thick. Mirror supports 17 were spaced 64
15 millimeters apart, and micrometer pushers 18 were adjusted each to produce a deflection of 100 micrometers at points 23 millimeters from mirror supports 17.

An alternative preferred arrangement for mirror deformation is depicted in FIG. 2 which shows stage 20 with
20 support assembly 21 and 22, 21 being a pivot and 22 in the form of a micrometer pusher. Shown further are turntable 23 with low-friction supports 24, mirror 25, and second micrometer pusher 26. Supports 21 and 24 may take the form of pins or rods. Micrometer pusher 22 can be used for
25 initial adjustment, after which the shape of mirror 25 can be controlled by micrometer pusher 26. This assembly, too, is effective as a third-order reflector element.

While, as described with reference to FIG. 1 and 2, third-order dispersion is conveniently produced or
30 compensated for by a device including an elastically deformed constant-thickness, homogeneous reflector element, the use of reflector elements having nonconstant thickness and/or nonhomogeneous composition is not precluded-- for quadratic, cubic, or even higher-order dispersion or
35 dispersion compensation. This may involve the inclusion of additional push- or pull-controllers, possibly with mirror

attachments for pulling at points on the backside of a mirror. Among further variations are the inclusion of a reflector element having a permanently nonplanar surface as may be produced, e.g., by grinding; the inclusion of
5 reflector surfaces which are stepped, piecewise planar, or planar in part; and the use of reflectors whose surface shape is controlled or influenced by acoustic waves. Furthermore, in integrated optics, a reflector may take the form of an edge of an etched layer, and may be
10 monolithically integrated with an etched lens and an etched grating, and with thin-film waveguides serving as light paths.

Illustrative of yet another preferred embodiment of a reflector assembly for pulse shaping, FIG. 3 shows an array
15 of piezoelectric actuators 31 having reflective surfaces 32. Actuators 31 are electrically connected to electrical controller 33 so that, under electrical control, the combined surfaces 32 can serve as an electrically adjustable pulse-shaping mirror when spatially spread-out frequency
20 components 34 of a signal are made incident on the array. (In this case, conveniently, any desired phase-shift function can be realized with physical displacements of surfaces 32 limited to half a wavelength. For example, for phase-shifting purposes, the array of actuators shown in
25 FIG. 3 effectively produces a phase shift corresponding to the cubic shape 35.) This arrangement is particularly adaptable for the approximation of phase-shift functions of any order and any combination of orders, and it may be used equally for phase shifts which are not representable in
30 terms of powers of frequency.

For the sake of demonstration of the performance of an experimental device in accordance with FIG. 1, FIG. 4 and 5 show crosscorrelations as experimentally determined and as theoretically expected for third-order dispersion. The
35 experiment was carried out with 100-femtosecond pulses of 1.54-micrometer radiation from an additive pulse mode locked

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sodium chloride laser; the physical dimensions of the phase-shift assembly were as described above. Close agreement between the two figures is readily appreciated.

FIG. 6 shows an input signal 61 to a preferred pulse-shaping device 62, a "pre-distorted" signal 63 produced by pulse-shaping device 62 for transmission over optical fiber 64, and a transmitted signal 65 whose shape matches that of input signal 61. Alternatively, a signal may undergo shaping after transmission; shaping before transmission is preferred, however, for the compensation of nonlinearities due to varying signal strength.

Preferred pulse shaping involving the use of an optical reflector can be used in optical communications, e.g., to compensate for frequency dispersion in fiber-optical transmission. For example, as shown in FIG. 7, an optical communications system may include communications stations 70 (e.g., included in computer stations), each with receiver 71, transmitter 72, coupler 73, and pulse shaper 74; stations 70 are shown connected to star coupler 75 by means of optical fibers 76. In accordance with a preferred embodiment of the invention, pulse shapers 74 are adapted to compensate for frequency dispersion due to transmission of an optical signal over the one fiber 76 which connects a station 70 to star coupler 75.

In accordance with a further aspect of the invention, phase compensation and, more particularly, third-order phase compensation can be used to compress or reduce the width of a pulse after its generation in a gain medium, generation being understood to include laser generation as well as amplification of a pulse injected into a gain medium. Thus, preferred pulse compression is applicable, e.g., to semiconductor lasers and amplifiers; to rare-earth or transistion metal doped crystal, bulk glass, or optical fiber lasers and amplifiers; to color-center systems such as sodium chloride lasers and amplifiers; and to gas lasers and amplifiers; dye lasers and amplifiers.

An experimental set-up for demonstrating preferred

pulse compression is schematically depicted in FIG. 8 which shows low-power master oscillator 80, semiconductor traveling-wave power amplifier 81, dual-grating second-order phase compensator 82, and third-order phase compensator 83, e.g., in accordance with FIG. 1. Arrows between components represent free-space or waveguide light paths.

In an experiment, an autocorrelation analyzer was used to monitor optical pulse shape at the output of second order phase compensator 82, and compensator 82 was adjusted for optimal second-order pulse compression. The resulting pulses were then injected into third-order phase compensator 83, and compensator 83 was adjusted for optimal third-order pulse compression. Respective input and output pulses to and from the third-order phase compensator are shown in FIG. 9 and 10. As can be seen from FIG. 9, input-pulse duration is approximately 410 femtoseconds, and the pulse shape is characterized by large "wings" or "shoulders"; as can be seen from FIG. 10, output-pulse duration is approximately 290 femtoseconds, and there is no appearance of wings.

Third-order phase compensation as applied to an amplified laser pulse can be understood as representing a correction for quadratic chirp (and increased bandwidth) introduced by an integrating nonlinearity of the amplifying medium. Typically, such nonlinearity is most pronounced near saturation of the gain medium.

While, as described above, compression of an amplified laser pulse has been realized by means of a pulse shaper comprising a reflector element, such compression can be similarly realized by means of other third-order pulse-shaping devices or methods. For example, phase masks as disclosed in the above-identified patent to J. P. Heritage et al. can be readily adapted for third-order pulse compression.

- 1 1. An optical pulse-shaping device comprising
2 spreader means for spatially spreading out
3 frequency components included in an optical input signal,
4 and
5 a metallically coated mirror disposed for mirror
6 reflecting spatially spread-out frequency components so as
7 to impart a frequency-dependent phase shift to said
8 spatially spread-out frequency components.
- 1 2. The device of Claim 1, said mirror reflector
2 having an essentially fixed cubic shape.
- 1 3. The device of Claim 1 further comprising
2 adjusting means for adjusting the shape of said
3 mirror reflector means.
- 1 4. The device of Claim 3, said adjusting means
2 being adapted to impart essentially cubic shape to said
3 reflector.
- 1 5. A method for shaping an optical pulse,
2 comprising the steps of
3 spatially spreading out frequency components
4 included in an input pulse,
5 reflecting spread-out frequency components from a
6 nonplanar metallically coated mirror surface of a body, and
7 combining reflected frequency components.
- 1 6. The method of Claim 5, further comprising a
2 step of adjusting the shape of said surface.
- 1 7. An optical communications station comprising
2 optical linking means and optical pulse-shaping
3 means connected to said linking means, said optical pulse-
4 shaping means comprising
5 spreader means for spatially spreading out
6 frequency components included in an optical input signal,
7 and
8 mirror reflector means disposed for reflecting
9 spatially spread-out frequency components so as to impart
10 a frequency-dependent phase shift to said spatially
11 spread-out frequency components.

1 8. The optical communications station of Claim
2 7, further comprising an optical receiver connected to
3 said pulse-shaping means.

1 9. The optical communications station of
2 Claim 7, further comprising an optical transmitter
3 connected to said pulse-shaping means.

1 10. An optical cubic corrector, comprising:
2 a reflector; and

3 means for introducing a deflection in said
4 reflector having a component which varies essentially
5 cubically along said reflector wherein said introducing
6 means comprises

7 a first support supporting a first side of said
8 reflector at a first point,

9 a second support supporting a second side of said
10 reflector at a second point spaced apart along a lateral
11 extent of said reflector from said first point,

12 first pressure means for applying pressure to
13 said second side of said reflector and disposed on a side
14 of said first support opposite said second support, and

15 second pressure means for applying pressure to
16 said first side of said reflector and disposed on a side of
17 said second support opposite said first support.

FIG. 1

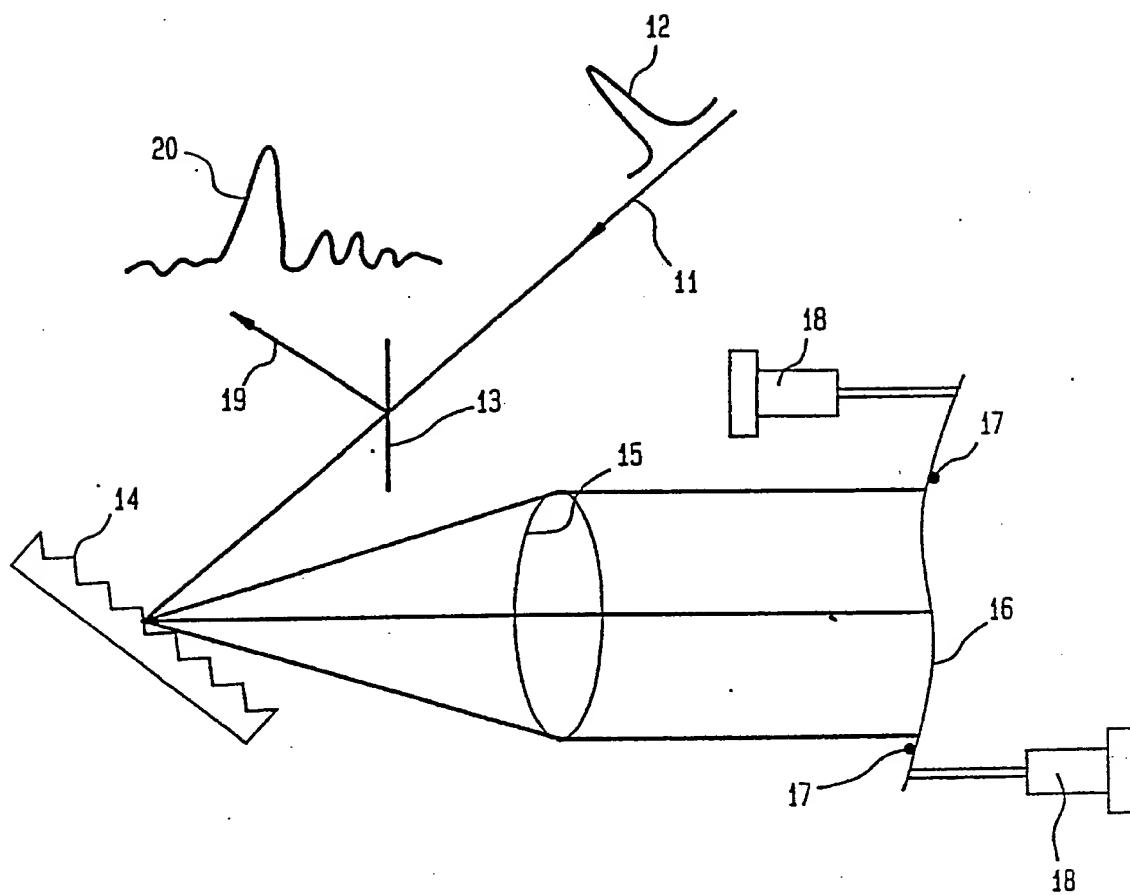


FIG. 2

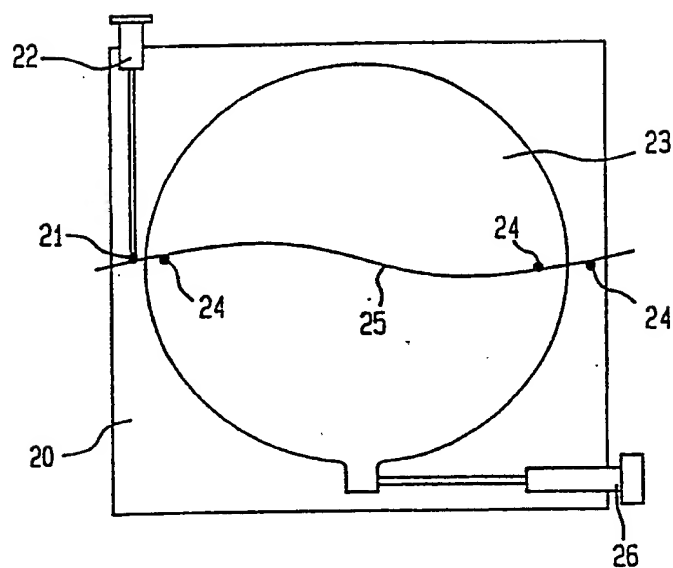


FIG. 3

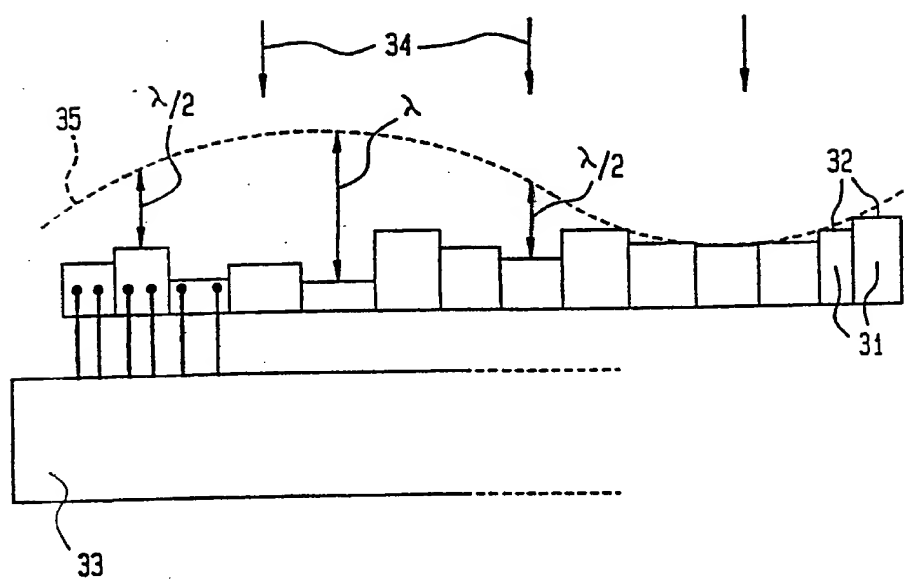


FIG. 4

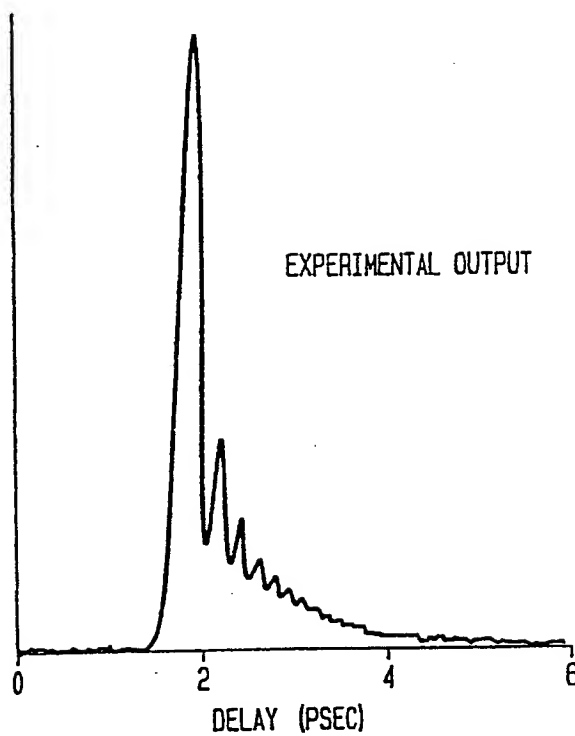


FIG. 5

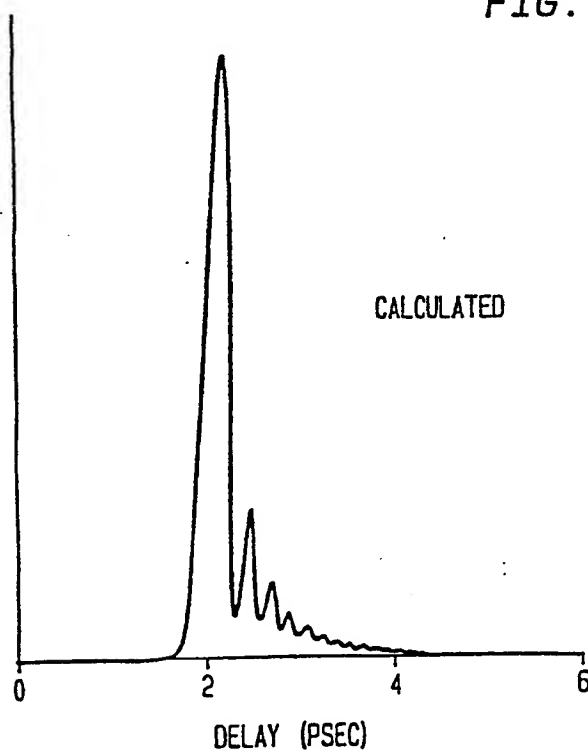


FIG. 6

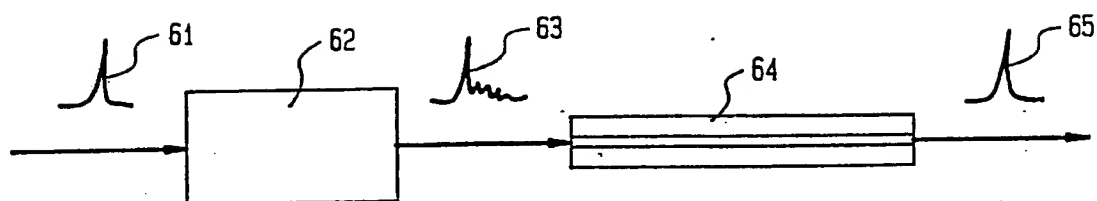


FIG. 7

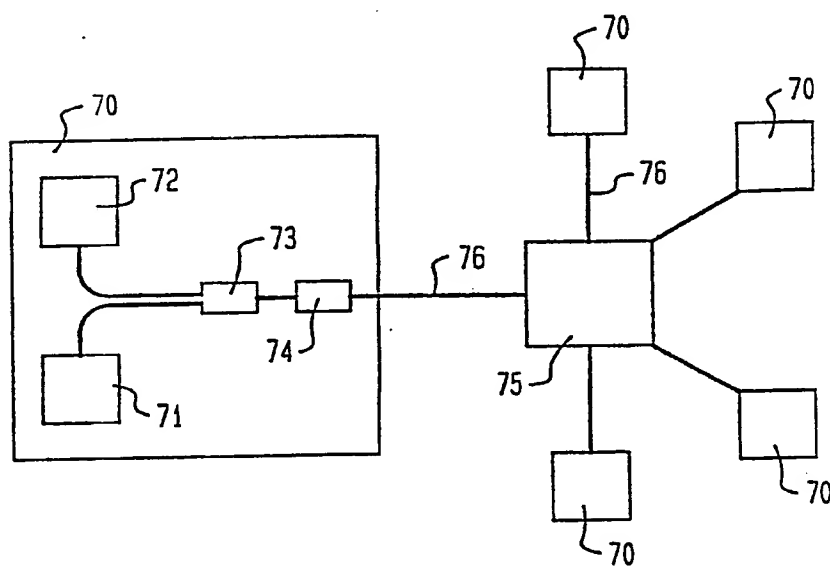
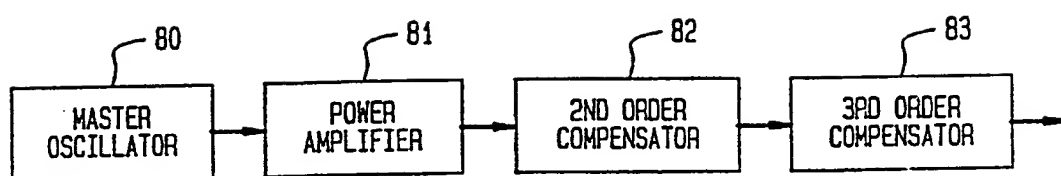
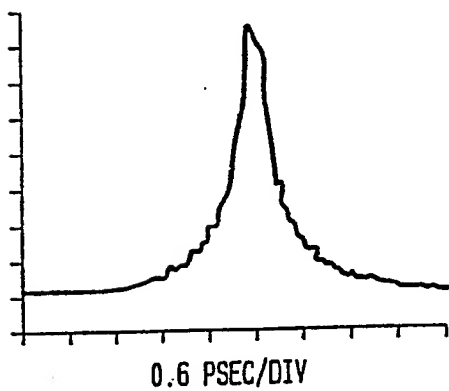
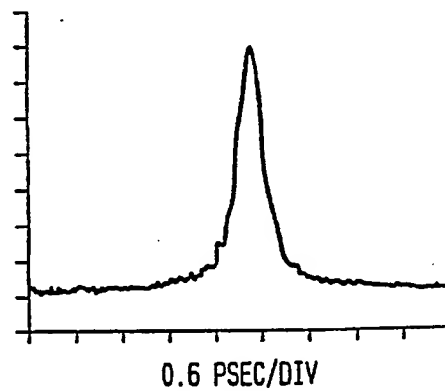


FIG. 8

FIG. 9
INPUTFIG. 10
OUTPUT

INTERNATIONAL SEARCH REPORT

International Application No. PCT/US92/01627

I. CLASSIFICATION F SUBJECT MATTER (if several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC		
U.S. Cl.: 359/572, 359/615, 359/868, 372/102, 455/617		
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Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹		
Category *	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
Y	US, A. 4,834,474 GEORGE ET AL 30 May 1989 (See Figure 22 and column 12)	1-6
Y	US, A 3,251,943 KELDERMAN 28 July 1970 (See column 2, lines 20 to 22 and Figure 6)	2-4 and 6
A	US, A 4,752,130 GEORGE ET AL 21 June 1988 (See Figure 1)	1-9
A	US, A 4,295,710 HEINZ 20 October 1981 (See Figure 1)	2-4, 6 and 10
<p>* Special categories of cited documents: ¹⁰</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"Z" document member of the same patent family</p>		
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